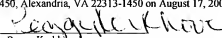


# UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KITAOKA, et al.  
 Attorney Docket: 10873.1944USWO  
 Title: METHOD FOR PRODUCING COMPOUND SINGLE CRYSTAL  
 AND PRODUCTION APPARATUS FOR USE THEREIN

## CERTIFICATE OF TRANSMISSION

I hereby certify that the papers listed below are being transmitted by EFS Web to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 17, 2006.

By:   
 Name: Peggy Kerkhove

Mail Stop PCT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**53148**

PATENT TRADEMARK OFFICE

Sir:

The following papers are transmitted herewith:

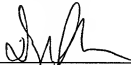
- ☒ Transmittal sheet containing Certificate of Transmission;
- ☒ National Stage Patent Application including: Description - 38 pages; Claims - 5 pages; Abstract - 1 page; Drawings - 14 sheets (formal);
- ☒ Signed Combined Declaration and Power of Attorney;
- ☒ Application Data Sheet - 6 pages;
- ☒ Information Disclosure Statement, Form 1449, 1 references
- ☒ Please charge Deposit Account No. 50-3478 for the amount of \$1950 to cover the filing fee calculated below;
- ☒ Other: Form PTO-1390, Communication Regarding Requested Figure, Article 34 Amendments, International Publication Page, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PCT/IPEA/409, Written Reply

## CLAIMS AS FILED

Number of Claims Filed	No.	In Excess of	Extra	Rate	Fee
Total Claims	41	20	21	50.00	= 1050.00
Independent Claims	1	3	0	200.00	= 0.00
Multiple Dependent Claims Fee					= 0.00
Basic Filing Fee					= 300.00
Search Fee					= 400.00
Examination Fee					= 200.00
Utility Application Size Fee	58	100	0	250.00	= 0.00
Total					= 1950.00

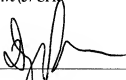
Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478.

Hamre, Schumann, Mueller & Larson, P.C.  
 P.O. Box 2902, Minneapolis, MN 55402-2902  
 612.455-3800

By:   
 Name: Douglas P. Mueller  
 Reg. No.: 30,300  
 Initials: DPM/pjk

(PTO TRANSMITTAL - NEW FILING)

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 10873.1944USWO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO (if known, see 37 C.F.R. 1.5) Unknown
INTERNATIONAL APPLICATION NO. PCT/JP2005/002560	INTERNATIONAL FILING DATE 18 February 2005	PRIORITY DATE CLAIMED 19 February 2004	
TITLE OF INVENTION METHOD FOR PRODUCING COMPOUND SINGLE CRYSTAL AND PRODUCTION APPARATUS FOR USE THEREIN			
APPLICANT(S) FOR DO/EO/US KITAOKA, ET AL.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(i).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
<b>Items 11. to 16. below concern document(s) or information included:</b>			
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, 7 references.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li style="padding-left: 20px;"><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet, Communication Regarding Requested Figure, International Publication Page, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PCT/IPEA/409, Written Reply</li> </ol>			

U.S. APPLICATION NO (If known, see 37 C.F.R. 1.5) Unknown		INTERNATIONAL APPLICATION NO PCT/JP2005/002560		ATTORNEY'S DOCKET NUMBER 10873.1944USWO	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b>					
[X] a) Basic National fee.....				\$300.00	\$300.00
[X] b) Examination fee.....				\$200.00	\$200.00
[X] c) Search fee.....				\$400.00	\$400.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$900.00
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
58	-100 = 0	/50 =	X \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	41	-20 = 21	X \$50.00	\$1050.00	
Independent claims	1	-3 = 0	X \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$1950.00
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$	
<b>SUBTOTAL =</b>					\$1950.00
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
<b>TOTAL NATIONAL FEE =</b>					\$1950.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>					\$1950.00
				Amount to be refunded	\$
				charged	\$1950.00
a. [ ] Check(s) in the amount of \$___ to cover the above fees is enclosed.					
b. [X] Please charge Deposit Account No. 50-3478 for the amount of \$1950 to cover the required filing fee for a large entity.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: Douglas P. Mueller Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902 Minneapolis, MN 55402-0902			SIGNATURE:  NAME: Douglas P. Mueller REGISTRATION NUMBER: 30,300		